

Harmonic Investment Advisors, Inc. Privacy Policy for Individual Clients

Harmonic Investment Advisors, Inc. (“HIA”) is committed to protecting its clients’ privacy. To conduct regular business, HIA may collect nonpublic personal information from sources such as:

- Information reported by clients on applications or other forms clients provide to HIA.
- Information about clients’ transactions with HIA, its affiliates, or others.
- Conversations between clients and our representatives.

HIA shares nonpublic information solely to service its client accounts. HIA does not disclose any nonpublic personal information about its clients or former clients to anyone, except as permitted by law. If a client decides to close the client’s account(s) with HIA or becomes an inactive client, HIA will continue to adhere to its privacy policy and practices with respect to that client as described in this notice.

Information Safeguarding

HIA internally safeguards clients’ nonpublic personal information by restricting access to that information only to HIA employees. HIA employees provide products or services to clients and need access to clients’ information to service their accounts. In addition, HIA maintains physical, electronic and procedural safeguards that meet federal standards to guard clients’ nonpublic personal information.